January 11, 2017

To,
Listing Compliance Department,
BSE Limited,
P.J. Towers, Dalal Street,
Mumbai – 400 001.

Dear Sir/Madam,

Sub: Submission of Statement of number of investor complaints pending, received, disposed of and remaining unresolved for the quarter ended December 31, 2016.

Ref: Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

With reference to above, we hereby submit the statement for quarter ended December 31, 2016 in respect of number of investor complaints pending at the beginning of the quarter, those received during the quarter, disposed of during the quarter and those remaining unresolved at the end of the quarter.

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<table>
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<tbody>
<tr>
<td>Investor complaints pending at the beginning of the quarter</td>
<td>Nil</td>
</tr>
<tr>
<td>Investor complaints received during the quarter</td>
<td>Nil</td>
</tr>
<tr>
<td>Investor complaints disposed of the quarter</td>
<td>Nil</td>
</tr>
<tr>
<td>Investor complaints remaining unresolved at the end of the quarter</td>
<td>Nil</td>
</tr>
</tbody>
</table>

The Screenshot of “Compliant Status” as of January 11, 2017, i.e., date of filing this submission shown on SCORES website is enclosed as ‘Annexure – I’.

You are requested to take the same on record.

Thanking you,

Yours truly,
For IIFL Wealth Finance Limited

Company Secretary
Name: Manoj Gujaran
Membership No.: ACS 22201
Encl.: As above.

IIFL WEALTH FINANCE LIMITED
(FORMERLY KNOWN AS CHEPHIS CAPITAL MARKETS LIMITED)

CORPORATE & REGD. OFFICE:
6th FLOOR, IIFL CENTRE, KAMALA CITY, SENAPATI BAPAT MARG, LOWER PAREL (W), MUMBAI - 400 013. INDIA
TEL: (91-22) 3958 6600 | FAX: (91-22) 6646 4706
(An IIFL Wealth & Asset Management Group Company)

www.iiflwealthfinance.com

CIN: U65990MH1994PLC026546