October 17, 2016

To,
Listing Compliance Department,
BSE Limited,
P.J. Towers, Dalal Street,
Mumbai – 400 001.

Dear Sir/Madam,

Sub: Submission of Statement of number of investor complaints pending, received, disposed of and remaining unresolved for the quarter ended September 30, 2016.

Ref: Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

With reference to above, we hereby submit the statement for quarter ended September 30, 2016 in respect of number of investor complaints pending at the beginning of the quarter, those received during the quarter, disposed of during the quarter and those remaining unresolved at the end of the quarter.

<table>
<thead>
<tr>
<th>Investor complaints pending at the beginning of the quarter</th>
<th>Nil</th>
</tr>
</thead>
<tbody>
<tr>
<td>Investor complaints received during the quarter</td>
<td>Nil</td>
</tr>
<tr>
<td>Investor complaints disposed of the quarter</td>
<td>Nil</td>
</tr>
<tr>
<td>Investor complaints remaining unresolved at the end of the quarter</td>
<td>Nil</td>
</tr>
</tbody>
</table>

The Screenshot of “Compliant Status” as of 17th October 2016, i.e., date of filing this submission shown on SCORES website is enclosed as ‘Annexure – I’.

You are requested to take the same on record.

Thanking you,

Yours truly,
For IIFL Wealth Finance Limited

Company Secretary
Name: Manoj Gujar
Membership No.: ACS 22201

Encl.: As above.