



February 14, 2022

To,
General Manager
Listing Compliance Department,
BSE Limited,
P.J. Towers, Dalal Street,
Mumbai – 400 001.

Dear Sir/Madam,

Sub: Submission of Assets Liability Management (ALM) Statement for the month ended January 31, 2022.

Pursuant to Chapter XVII – Listing of Commercial Paper of the Operational Circular no. SEBI/HO/DDHS/P/CIR/2021/613 dated August 10, 2021 issued by SEBI, please find enclosed the ALM Statement 2 and 3 of the Company for the month ended on January 31, 2022.

You are requested to take the same on record.

Yours truly,

For IIFL Wealth Prime Limited
(Formerly known as IIFL Wealth Finance Limited)



Amit Bhandari
Company Secretary & Compliance Officer

IIFL WEALTH PRIME LIMITED

(FORMERLY KNOWN AS IIFL WEALTH FINANCE LIMITED)

Corporate & Registered Office:

6th Floor, IIFL Centre, Kamala City, Senapati Bapat Marg,
Lower Parel (W), Mumbai – 400 013
Tel: (91-22) 4876 5600 | Fax: (91-22) 4646 4706

(IIFL Wealth Group)

www.iiflwealthprime.com

CIN: U65990MH1994PLC080646



Reserve Bank of India

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General Information

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Statements

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[DNBS4BstructuralLiquidity - Statement of Structural Liquidity](#)

[DNBS4BIRS - Statement of Interest Rate Sensitivity \(IRS\)](#)

LEGEND

Numeric Data	
Text Block Data	
Text Data	
Dropdown Data	
No Data	
Blocked Data	
Reporting Date	
Auto Populated Value	
Formula Cell	
Master Driven Data	
Dyanamic Dropdown Data	
Free Text Data	



Filing Information

Filing Information	
	Information
Return Name	DNBS04B-Structural Liquidity & Interest Rate Sensitivity - Monthly
Return Code	DNBS4B
Name of reporting institution	IIFL WEALTH FINANCE LIMITED (formerly Chephis Capital Markets Ltd)
Bank / FI code	MUM02120
Institution Type	NBFC
Reporting frequency	Monthly
Reporting start date	01-01-2022
Reporting end date	31-01-2022
Reporting currency	INR
Reporting scale	Lakhs
Taxonomy version	1.0.0
Tool name	RBI iFile
Tool version	1.0.0
Report status	Un-Audited
Date of Audit	
General remarks	

Scoping Question	
	X010

Whether NBFC Profile has been updated on website	Yes
Category Of NBFC	Non-Deposit taking Systemically Important (NDSI) NBFC
Classification of NBFC	(i) NBFC - Investment and Credit Company (NBFC-ICC) (Loan Company (LC) /Asset Finance Company (AFC) / Investment Company (IC))



AuthorisedSignatory - Authorised Signatory

Table 1: Authorised Signatory		
Particulars		Value
		X010

Name of the Person Filing the Return	Y010	Amit Bhandari
Designation	Y020	Company Secretary
Office No. (with STD Code)	Y030	02248765712
Mobile No.	Y040	7304507944
Email Id	Y050	amit.bhandari@iiflw.com
Date	Y060	10-02-2022
Place	Y070	Mumbai

1. All values must be reported in Rs lakh.
2. Enter all dates in dd-mm-yyyy format.
3. Please ensure that the financial information furnished in the various sheets of this return are correct and reflecting the true picture of the business operations of the NBFC, if found otherwise, the concerned NBFC would be liable for penal action under the provisions of RBI Act.

Statement of Structural Liquidity													Actual outflow/inflow during last 1 month, starting													
Particulars	0 day to 7 days		8 days to 14 days		15 days to 30/31 days (1 month)		Over one month and up to 3 months		Over 3 months and up to 6 months		Over 6 months and up to 1 year		Over 1 year and up to 5 years		Over 5 years		Total		Remarks		0 day to 7 days		8 days to 14 days		15 days to 30/31 days	
	X010	X020	X030	X040	X050	X060	X070	X080	X090	X100	X110	X120	X130	X140	X150	X160	X170	X180	X190	X200	X210	X220	X230	X240	X250	
A. OUTFLOWS																										
1. Cash and cash equivalents																										
(i) Equity Capital	X101	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	30,549.38	30,549.38						0.00	0.00	0.00	0.00	0.00	
(ii) Deposits / Non Redeemable Preference Shares	X102	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(iii) Non-Transferable / Redeemable Preference Shares	X103	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(iv) Other Assets	X104	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
2. Reserves & Surplus (Excluding Cash and Cash Equivalents)																										
(i) Share Premium Account	X105	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	1,153.88	1,153.88						0.00	0.00	0.00	0.00	0.00	
(ii) General Reserve	X106	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	14.30	14.30						0.00	0.00	0.00	0.00	0.00	
(iii) Statutory Special Reserve (Section 45-C reserve to be shown separately below item no.X107)	X107	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(iv) Reserve under Sec.45-C of the Act 1934	X108	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	18,181.44	18,181.44						0.00	0.00	0.00	0.00	0.00	
(v) Capital Redemption Reserve	X109	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	23.00	23.00						0.00	0.00	0.00	0.00	0.00	
(vi) Debenture Redemption Reserve	X110	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(vii) Other Capital Reserves	X111	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(viii) Other Reserve Reserves	X112	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(ix) Investment (Funding Reserve)/ Investment Reserves	X113	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(x) Recoupment Reserves (if any)	X114	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(xi) Rent, Reserves - Property	X115	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
(xii) Rent, Reserves - Financial Assets	X																									

[illegible]

All Monetary Items present in this return shall be reported in ₹ Lakhs Only

Table A-4. Statement on Interest Rate Sensitivity (DIS) - Off-Balance Sheet Items (DIS)												
Particulars	0 day to 7 days X130	8 days to 14 days X140	15 days to 30/31 days (One month) X150	Over one month and upto 2 months X160	Over two months and upto 3 months X170	Over 3 months and upto 6 months X180	Over 6 months and upto 1 year X190	Over 1 year and upto 3 years X200	Over 3 years and upto 5 years X210	Over 5 years X220	Non-sensitive X230	Total X240
A. Excessed Outflows on account of DIS Items												
1.Lines of credit committed to other institutions	X1810	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2.Letter of Credits (LC's)	X1820	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.Guarantees (Financial & Other)	X1830	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
4.Sale and repurchase agreement and asset sales with recourse, where the credit risk remains with the applicable NBFIC	X1840	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
5.Loading of NBFIC securities or pooling of securities as collateral by the NBFIC-FC. Include instances where there arise sale of repo style transactions <i>(Commitment to provide liquidity facility for securitization of standard asset transactions)</i>	X1850	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
6.Second loss credit enhancement for securitization of standard asset transactions provided as third party	X1870	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
B.Outflows from Derivative Exposures (B=x-BL x z + x-z x y+xy)												
[I] Futures Contracts [Bx(BH-xBL)]	X1880	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[II] Options Contracts [Bx(H-yBL)]	X1890	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[a] Currency Futures	X1900	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[b] Interest Rate Futures	X1910	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[c] Other Futures (Commodities, Securities etc.)	X1920	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[III] Over-the-counter (OTC) Swaps	X1930	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[a] Currency Option Purchased / Sold	X1940	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[b] Interest Rate Options	X1950	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[c] Other Options (Commodities, Securities etc.)	X1960	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[III] Swaps - Currency (Fair-B/L)	X1970	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[a] Cross-Currency Interest Rate Swaps (Not Involving Rupee)	X1980	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[b] FCY - INR Interest Rate Swaps	X1990	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[II] Swaps - Interest Rate (Fair/B/L)	X2000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[a] Single-Currency Interest Rate Swaps	X2010	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[b] Basis Swaps	X2020	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[c] Credit Default Swaps(CDS) Purchased	X2030	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[d] Swaps - Others (Commodities, securities etc.)	X2040	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
5.Other contingent outflows	X2050	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total Outflow on account of DIS Items [Sum of (A+B+C)+D+E+F+G+H]	X2060	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
C.Excessed Inflows on account of DIS Items												
1.Lines commitments from other institutions provided/disbursed	X2070	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2.Inflows on account of Rupee Regies (Buy/Sell)	X2080	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3.Inflows on account of B/Ls reflected	X2090	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
4.Inflows from Derivative Exposures (B=x-BL x z + x-z x y+xy)	X2100	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[I] Futures Contracts [Bx(BH-xBL)]	X2110	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[a] Currency Futures	X2120	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[b] Interest Rate Futures	X2130	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[c] Other Futures (Commodities, Securities etc.)	X2140	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[II] Options Contracts [Bx(H-yBL)]	X2150	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[a] Currency Option Purchased / Sold	X2160	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[b] Interest Rate Options	X2170	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[c] Other Options (Commodities, Securities etc.)	X2180	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[III] Swaps - Currency (Fair-B/L)	X2190	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[a] Cross-Currency Interest Rate Swaps (Not Involving Rupee)	X2200	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[b] FCY - INR Interest Rate Swaps	X2210	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[II] Swaps - Interest Rate (Fair/B/L)	X2220	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[a] Single-Currency Interest Rate Swaps	X2230	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[b] Basis Swaps	X2240	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
[c] Swaps - Others (Commodities, securities etc.)	X2250	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
5.Other contingent inflows	X2260	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total inflow on account of DIS Items [Sum of (A+B+C)+D+E+F+G+H]	X2280	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
C MINUS D ON DIS	X2290	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00