

IIFL WEALTH PRIME LIMITED: DETAILS OF COMPLIANCE OFFICER

Quarters	Name of Company	Name of Compliance officer	Whether compliance officer qualified company secretary(Yes/No)	Membership No.	Date of Appointment	Date of resignation, if any
Dec – 2020	IIFL Wealth Prime Limited	Amit Bhandari	Yes	A25871	January 20, 2020	N.A.
Mar – 2021	IIFL Wealth Prime Limited	Amit Bhandari	Yes	A25871	January 20, 2020	N.A.
June– 2021	IIFL Wealth Prime Limited	Amit Bhandari	Yes	A25871	January 20, 2020	N.A.
Sep – 2021	IIFL Wealth Prime Limited	Amit Bhandari	Yes	A25871	January 20, 2020	N.A.
Dec – 2021	IIFL Wealth Prime Limited	Amit Bhandari	Yes	A25871	January 20, 2020	N.A.
Mar – 2022	IIFL Wealth Prime Limited	Amit Bhandari	Yes	A25871	January 20, 2020	N.A.
Jun – 2022	IIFL Wealth Prime Limited	Amit Bhandari	Yes	A25871	January 20, 2020	N.A.

IIFL WEALTH PRIME LIMITED: DETAILS OF RTA

Quarters	Name of Company	Name of RTA	Date of Appointment	Date of cessation/change, if any
Dec – 2020	IIFL Wealth Prime Limited	Link Intime India Private Limited	February 09, 2016	N.A.
Mar – 2021	IIFL Wealth Prime Limited	Link Intime India Private Limited	February 09, 2016	N.A.
June– 2021	IIFL Wealth Prime Limited	Link Intime India Private Limited	February 09, 2016	N.A.
Sep – 2021	IIFL Wealth Prime Limited	Link Intime India Private Limited	February 09, 2016	N.A.
Dec – 2021	IIFL Wealth Prime Limited	Link Intime India Private Limited	February 09, 2016	N.A.
Mar – 2022	IIFL Wealth Prime Limited	Link Intime India Private Limited	February 09, 2016	N.A.
Jun – 2022	IIFL Wealth Prime Limited	Link Intime India Private Limited	February 09, 2016	N.A.

For IIFL Wealth Prime Limited

(formerly Known as IIFL Wealth Finance Limited)

Amit Bhandari
Company Secretary & Compliance Officer

IIFL WEALTH PRIME LIMITED

(Formerly known as IIFL Wealth Finance Limited)

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