

**Details of Investor Complaints received against IIFL Investment Adviser and Trustee Services Limited**

**Investor Complaints data for the month ending – October '22**

Sr. No	Received From	Pending at the end of last month	Received	Resolved*	Total Pending #	Pending Complaints > 3 months	Average Resolution Time ^(in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Other Sources (if any)	-	-	-	-	-	-
	<b>Grand Total</b>	-	-	-	-	-	-

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum-total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of monthly disposal of complaints**

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending #
1	Apr '22	-	-	-	-
2	May '22	-	-	-	-
3	Jun '22	-	-	-	-
4	Jul '22	-	-	-	-
5	Aug '22	-	-	-	-
6	Sep '22	-	-	-	-
7	Oct '22	-	-	-	-
	<b>Grand Total</b>	-	-	-	-

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

**Trend of annual disposal of complaints**

Sr. No.	Year	Carried forward from previous year	Received	Resolved**	Pending##
1	2018-19	NA	NA	NA	NA
2	2019-20	NA	NA	NA	NA
3	2020-21	NA	NA	NA	NA
4	2021-22	-	-	-	-
	<b>Grand Total</b>	-	-	-	-

\* Inclusive of complaints of previous months resolved in the current year.

# Inclusive of complaints pending as on the last day of the year.