



WEALTH
FINANCE

January 11, 2017

To,
Listing Compliance Department,
BSE Limited,
P.J. Towers, Dalal Street,
Mumbai – 400 001.

Dear Sir/Madam,

Sub: Submission of Statement of number of investor complaints pending, received, disposed of and remaining unresolved for the quarter ended December 31, 2016.

Ref: Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

With reference to above, we hereby submit the statement for quarter ended December 31, 2016 in respect of number of investor complaints pending at the beginning of the quarter, those received during the quarter, disposed of during the quarter and those remaining unresolved at the end of the quarter.


Investor complaints pending at the beginning of the quarter	Nil
Investor complaints received during the quarter	Nil
Investor complaints disposed of the quarter	Nil
Investor complaints remaining unresolved at the end of the quarter	Nil

The Screenshot of "Compliant Status" as of January 11, 2017, i.e., date of filing this submission shown on SCORES website is enclosed as 'Annexure – I'.

You are requested to take the same on record.

Thanking you,

Yours truly,
For IIFL Wealth Finance Limited


Company Secretary
Name: Manoj Gujran
Membership No.: ACS 22201
Encl.: As above.



IIFL WEALTH FINANCE LIMITED
(FORMERLY KNOWN AS CHEPHIS CAPITAL MARKETS LIMITED)

CORPORATE & REGD. OFFICE:

6TH FLOOR, IIFL CENTRE, KAMALA CITY, SENAPATI BAPAT MARG,
LOWER PAREL (W), MUMBAI - 400 013. INDIA
TEL: (91-22) 3958 5600 | FAX: (91-22) 4646 4706
(An IIFL Wealth & Asset Management Group Company)

www.iiflwealthfinance.com

CIN: U65990MH1994PLC080646



WEALTH
FINANCE

WEALTH & ASSET MANAGEMENT

ANNEXURE - I

Ministry Of Corporate Affairs | SCORES

scores.gov.in/Admin/Desk.aspx?Active=18&app=SCORES&CSession=-2572400541549451979-11075700747631786571163810069165371

BSE Ltd-Notices | Remote Support Port | Vinod Kothari Consult | IIFL Wealth Finance | Reserve Bank of India | Ministry Of Corporate Affairs

Securities and Exchange Board of India
Welcome : Manoj Gujarati, Company Secretary and Compliance Officer, IIFL Wealth Finance Limited
Version 2.0

Complaints | Search | Change Password | View Login Usage | Edit Profile | User Manual | Logout

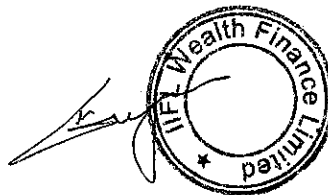
Last Successful Login on : 11/01/2017, 11:15:20
Last Unsuccessful Login on : 06/09/2016, 10:47:53

Complaints Status as on: 11/01/2017

- Total Complaints Received
- Total Pending Complaints
- Total Resolved Complaints
- Total Complaints Withdrawn
- ATR/Reply Sent and Pending with SEBI
- Review(s) Received [Click Here](#)
- New Complaints (For Assessment)
- Action Taken Report Received
- Action Taken Report Sent
- Pending Complaints (Within Our Office)
- Pending Complaints (With Investor)
- Pending Complaints (With Subordinate Organisations)
- Pending Complaints (With Subordinate Organisations - ATR not Received)

*** Please [Click Here](#)
|| For Listed

11:16 AM
11/1/2017



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scores.gov.in/Admin/NewComplaints.aspx?CSession=-2572400541549451979-110757007476317865711638100691653781069-1602982

BSE Ltd-Notices | Remote Support Port. | Vinod Kothari Consul. | IIFL Wealth Finance - | Reserve Bank of India. | Ministry Of Corporate Affairs

Securities and Exchange Board of India
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Complaints | Search | Change Password | View Login Usage | Edit Profile | User Manual | Logout

Last Successful Login on : 11/01/2017, 11:15:20
 Last Unsuccessful Login on : 06/09/2016, 10:47:53

Complaints

New | Pending | Resolved | ATR | ATR/Reply sent & Pending with SEBI | Rem/Clar/Reply

Search: Search

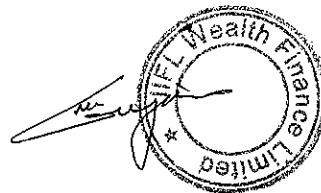
Export to Excel Format

New Complaint(s) Received

No record found !!!

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 1/11/2017



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