



October 03, 2018

To,  
Sr. General Manager,  
Department of Corporate Services,  
BSE Limited,  
P.J. Towers, Dalal Street,  
Mumbai – 400 001.

Dear Sir,

**Sub: Submission of Statement of number of investor complaints pending, received, disposed of and remaining unresolved for the quarter ended September 30, 2018**

**Ref: Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

With reference to above, we hereby submit the statement for quarter ended September 30, 2018 in respect of number of investor complaints pending at the beginning of the quarter, those received during the quarter, disposed of during the quarter and those remaining unresolved at the end of the quarter.

Investor complaints pending at the beginning of the quarter	Nil
Investor complaints received during the quarter	Nil
Investor complaints disposed of the quarter	Nil
Investor complaints remaining unresolved at the end of the quarter	Nil

The Screenshot of "Complaints Status" as of October 03, 2018 i.e., date of filing this submission shown on SCORES website is enclosed as 'Annexure – I'.

You are requested to take the same on record.

Thanking you,

Yours truly,  
For IIFL Wealth Finance Limited

  
Company Secretary  
Name: Manoj Gujran  
Membership No.: ACS 22201

Encl.: As above.

**IIFL WEALTH FINANCE LIMITED**  
(FORMERLY KNOWN AS CHEPHIS CAPITAL MARKETS LIMITED)

Corporate & Registered Office:  
6<sup>th</sup> Floor, IIFL Centre, Kamala City, SenapatiBapat Marg,  
Lower Parel (W), Mumbai – 400 013  
Tel: (91-22) 39585600 | Fax: (91-22) 46464706

(An IIFL Group Company)  
[www.iiflwealthfinance.com](http://www.iiflwealthfinance.com)

CIN: U65990MH1994PLC080646



**ANNEXURE - I**

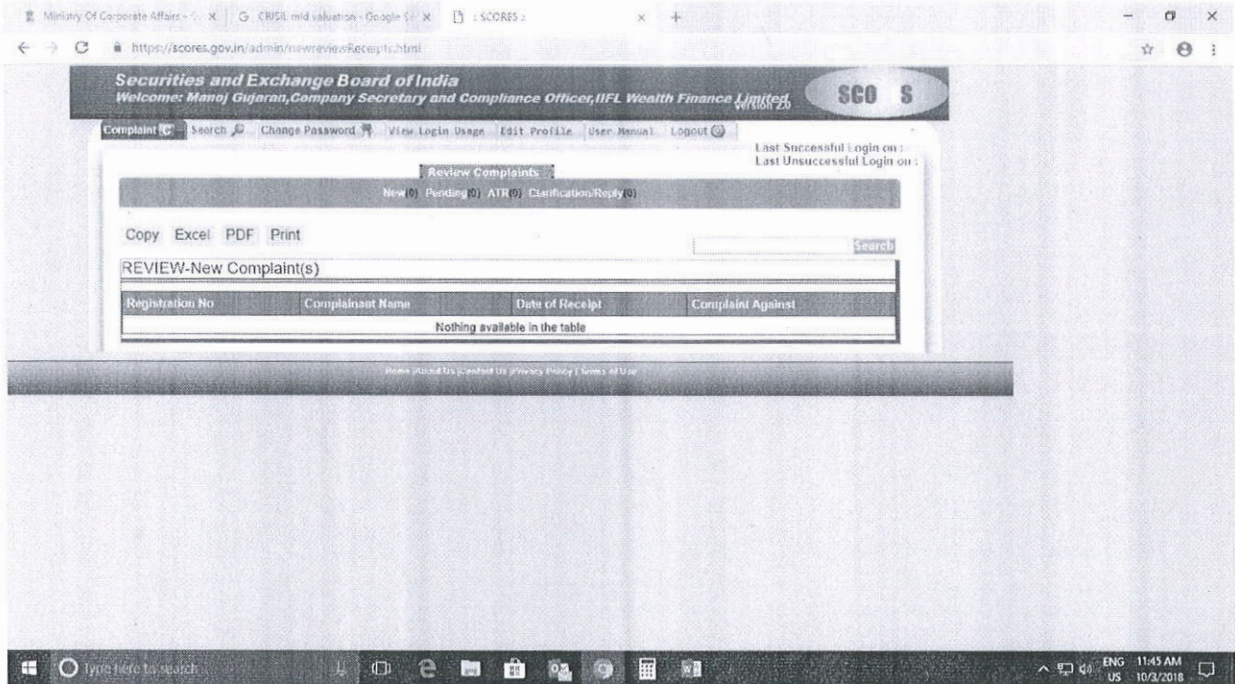
Complaints Status as on: 03/10/2018	
Total Complaints Received	0
Total Resolved Complaints	0
Total Pending Complaints	0
Total Complaints Withdrawn	0
ATR/REPLY Sent and Pending With SEBI	0
Review(s) received	<a href="#">Click Here</a>
Direct complaint(s) received from investor	<a href="#">Click Here</a>
New Complaints from SEBI (For Assessment)	-
Clarification Sought By SEBI/Higher Organization	-
Action Taken Report Received	-
Action Taken Report Sent	-
Pending Complaints (Within Our Office)	-
Pending Complaints (With Investor)	-
Pending Complaints (With Subordinate Organisations)	-
Pending Complaints (With Subordinate Organisations - Air not Received)	-
Reminder/Clarification received from investor/SEBI	-
Clarification sought from subordinate organisation	-

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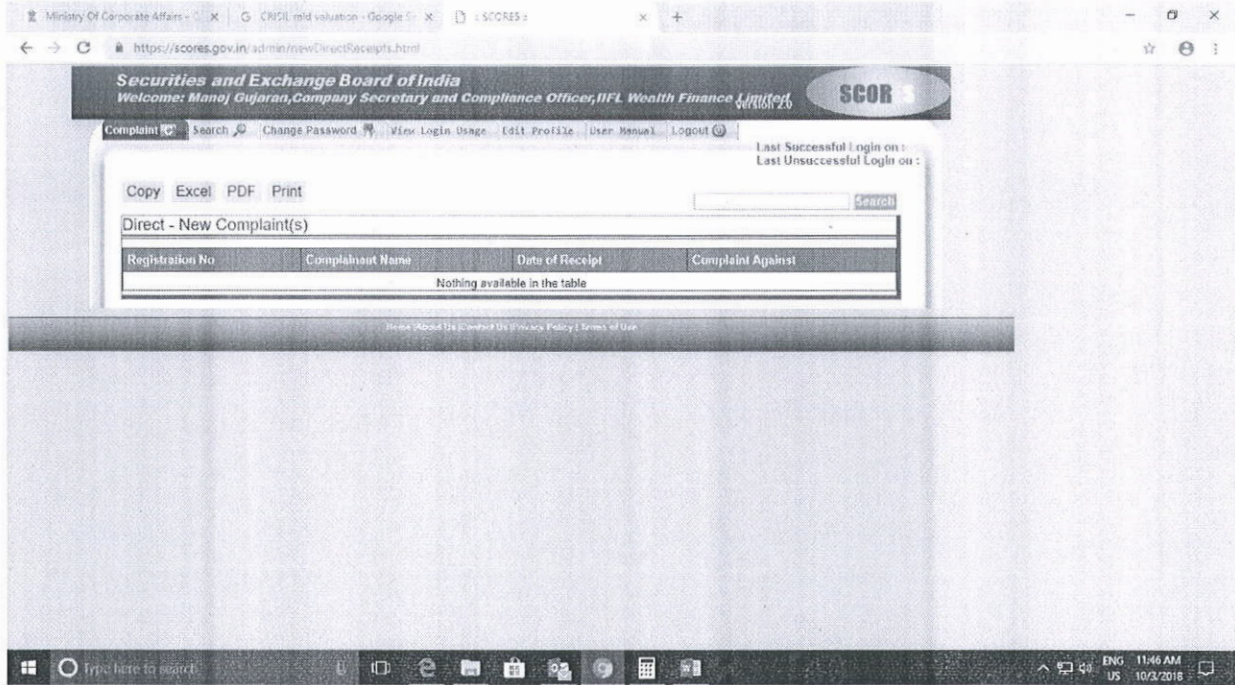



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*[Handwritten Signature]*

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