

October 17, 2016

To,
Listing Compliance Department,
BSE Limited,
P.J. Towers, Dalal Street,
Mumbai – 400 001.

Dear Sir/Madam,

Sub: Submission of Statement of number of investor complaints pending, received, disposed of and remaining unresolved for the quarter ended September 30, 2016.

Ref: Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

With reference to above, we hereby submit the statement for quarter ended September 30, 2016 in respect of number of investor complaints pending at the beginning of the quarter, those received during the quarter, disposed of during the quarter and those remaining unresolved at the end of the quarter.

Investor complaints pending at the beginning of the quarter	Nil
Investor complaints received during the quarter	Nil
Investor complaints disposed of the quarter	Nil
Investor complaints remaining unresolved at the end of the quarter	Nil

The Screenshot of "Compliant Status" as of 17th October 2016, i.e., date of filing this submission shown on SCORES website is enclosed as 'Annexure – I'.

You are requested to take the same on record.

Thanking you,

Yours truly,
For IIFL Wealth Finance Limited

Company Secretary
Name: Manoj Gujran
Membership No.: ACS 22201



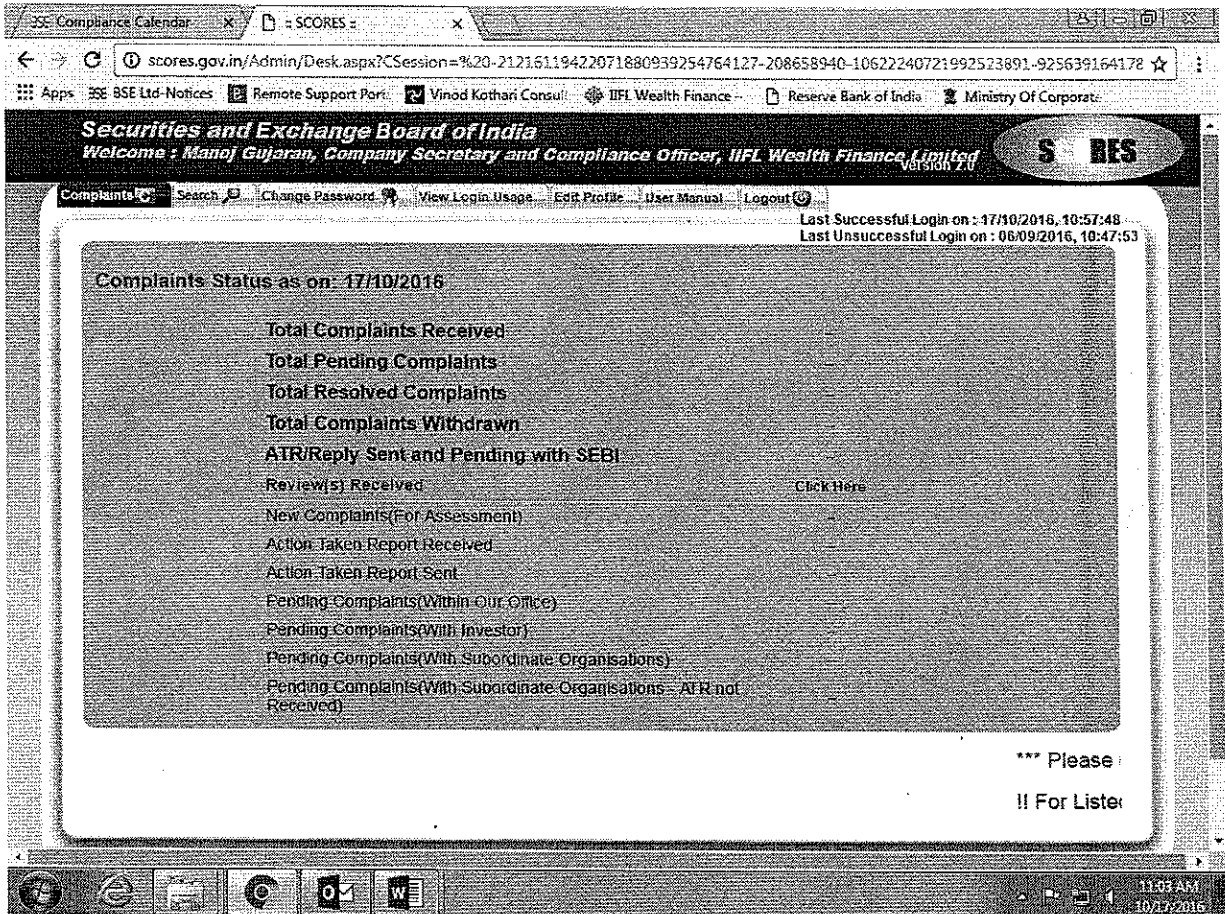
Encl.: As above.

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IIFL Wealth Finance Limited (formerly Chephis Capital Markets Limited),
6th Floor, IIFL Centre, Kamala City, Senapati Bapat Marg, Lower Parel (W),
Mumbai 400 013, Maharashtra, India.
CIN: U65990MH1994PLC080646

(An India Infoline Group Company)


ANNEXURE - I



Complaints Status as on: 17/10/2016

- Total Complaints Received
- Total Pending Complaints
- Total Resolved Complaints
- Total Complaints Withdrawn
- ATR/Reply Sent and Pending with SEBI
- Review(s) Received [Click Here](#)
- New Complaints (For Assessment)
- Action Taken Report Received
- Action Taken Report Sent
- Pending Complaints (Within Our Office)
- Pending Complaints (With Investor)
- Pending Complaints (With Subordinate Organisations)
- Pending Complaints (With Subordinate Organisations - ATR not Received)

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